



Novel hyperelastic models for large volumetric deformations

Kevin M. Moerman^{a,b,*}, Behrooz Fereidoonhezah^a, J. Patrick McGarry^{a,*}

^a National University of Ireland Galway, Galway, Ireland

^b Massachusetts Institute of Technology, Cambridge, MA, United States of America

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ABSTRACT

Materials such as elastomeric foams, lattices, and cellular solids are capable of undergoing large elastic volume changes. Although many hyperelastic constitutive formulations have been proposed for deviatoric (shape changing) behaviour, few variations exist for large deformation volumetric behaviour. The first section of this paper presents a critical analysis of current volumetric hyperelastic models and highlights their limitations for large volumetric strains. In the second section of the paper we propose three novel volumetric strain energy density functions, which: (1) are valid for large volumetric deformations, (2) offer separate control of the volumetric strain stiffening behaviour during shrinkage (volume reduction) and expansion (volume increase), and (3) provide precise control of non-monotonic volumetric strain stiffening. To illustrate the ability of the novel formulations to capture complex volumetric material behaviour they are fitted and compared to a range of published experimental data.

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1. Introduction

Foams, lattices, and cellular materials are common in nature and engineering applications (Schaedler and Carter, 2016; Fleck N. A. et al., 2010; Dunlop and Fratzi, 2013; Mihai L. Angela et al., 2015). Given the large elastic volume changes these materials can undergo (Gibson et al., 1981), accurate descriptions of material behaviour beyond the small strain domain is required. Such behaviour may include an asymmetric shrinkage-expansion response in addition to a highly non-linear pressure-volume relationship.

Hyperelastic continuum models offer a convenient means to model the large strain mechanical behaviour of complex materials. However, although the hyperelasticity literature is rich in terms of variations in modelling of the deviatoric (shape changing) material response, as evident from the many different formulations which have been proposed (e.g. close to a hundred described in a recent review (Chagnon et al., 2015)), few variations exist for modelling the volumetric contribution (e.g. Bischoff et al., 2001; Storaakers, 1986; Ogden, 1972; Doll and Schweizerhof, 2000).

The goal of this study is to provide novel volumetric strain energy formulations which: (1) are valid for large volumetric deformations, and (2) enable the separate control of volumetric strain-dependent stiffening during shrinkage (volume reduction), and expansion (volume increase).

Accurate modelling of the volumetric behaviour of materials like soft foams and lattices is of interest since it is relevant to the design of support structures (see review Mills et al., 2003), such as foam cushions and seats (Savonnet et al., 2018; Kim et al., 2018; Briody et al., 2012; Cohen and Gefen, 2017), helmets (Palta et al., 2018), and shoes and insoles (Petre et al., 2006; Actis et al., 2008; Ghassemi et al., 2015). Furthermore compliant lattices and foams are also employed in the design of soft robotics (Murray et al., 2015; Cheng et al., 2014; Schlagenhauf et al., 2018; Somm et al., 2019). Recent advances in material science include the development of ceramic nanolattices (Meza et al., 2014), mycelium-based bio-foams (Islam et al., 2018), ultraporous sponges (Mader et al., 2018) graphene foams and aerogels (e.g. Pan et al., 2018; Shang et al., 2018; Wu et al., 2015; Chandrasekaran et al., 2017) some capable of recovering from 90% compression (Hu et al., 2013). Furthermore, accurate volumetric formulations are relevant to stroke biomechanics research since blood clot contractions cause large volume changes (e.g. possibly over 80% volume loss (Tutwiler et al., 2016)).

Hyperelastic constitutive formulations have their origins in the modelling of rubber (Rivlin and Saunders, 1951; Treloar et al., 1976; Ogden, 1984; Boyce and Arruda, 2000). Although rubber is most commonly modelled as incompressible (no volume change), it does present with a non-linear pressure-volume response during large deformation hydrostatic compression (20% volume reduction (Boyce and Arruda, 2000)), and volumetric hyperelastic formulations capturing this behaviour have been proposed (e.g. Bischoff et al., 2001). These, as we will show here, are however not generally valid for very large hydrostatic compression.

* Corresponding author.

E-mail addresses: kevin.moerman@nuigalway.ie (K.M. Moerman), patrick.mcgarry@nuigalway.ie (J.P. McGarry).

Hyperelasticity is also commonly used for soft tissues (see review Chagnon et al., 2015). However, like with rubbers, these are often assumed to be incompressible or nearly-incompressible. For these applications the volumetric contributions are commonly considered largely as a simple penalty term to enforce (near) incompressibility (e.g. Simo and Taylor, 1982; Weiss et al., 1996), rather than a topic of detailed investigation. Consequently the formulations used for these volumetric contributions are often not valid for very large volume changes. For foams and highly compressible materials the so called hyperfoam formulation (Storåkers, 1986; Hill, 1979; Blatz and Ko, 1962)) is common (see for instance (Fernandes et al., 2015; Petre et al., 2006; Liu and Scanlon, 2003; Ju et al., 2013; Fazekas and Goda, 2018; Briody et al., 2012)). However, we show here it is not robustly designed for very large volume changes.

The first part of this study is a critical analysis of current volumetric hyperelastic formulations, and presents a discussion of their limitations for large volumetric deformations and non-linear applications. In the second part of this study three novel volumetric strain energy formulations are presented (and several variations in the appendix) which offer validity for large volumetric strains as well as flexibility for experimental fitting of complex behaviour for both the shrinkage and expansion domain. In addition, the third formulation was expanded to include non-monotonic volumetric strain stiffening (e.g. potentially leading to a plateau in the observed stress) as seen for crushable foams and cellular materials. Finally, the models are compared to experimental data for neoprene rubber foam (Bardy et al., 2005), flexible open-cell polyurethane cushioning foam (Petre et al., 2006), natural cork (Dart et al., 1947), and rigid closed-cell polyurethane foam (Maji et al., 1995).

2. Theoretical background and rationale

In hyperelasticity the constitutive behaviour, i.e. the material's stress-strain behaviour, is derived from a formulated strain energy density (SED) function (for a more detailed discussion of these concepts the reader is referred to established text-books on the subject (Holzapfel, 2000) and (Ogden, 1984)). In the case of uncoupled formulations the strain energy consists of additive deviatoric (shape changing) $\Psi_{dev}(\tilde{\mathbf{C}})$ and volumetric (volume changing) $\Psi_{vol}(J)$ contributions:

$$\Psi(\tilde{\mathbf{C}}, J) = \Psi_{dev}(\tilde{\mathbf{C}}) + \Psi_{vol}(J) \quad (1)$$

Here $\tilde{\mathbf{C}}$ and J represent the deviatoric right Cauchy-Green tensor and the volume ratio (or Jacobian), respectively. The Cauchy stress can be written:

$$\boldsymbol{\sigma} = \boldsymbol{\sigma}_{dev} + \sigma_h \mathbf{I} \quad (2)$$

where $\boldsymbol{\sigma}_{dev}$ is the deviatoric stress tensor, σ_h is the scalar hydrostatic stress, and $\mathbf{I}$ is the identity tensor. σ_h is given as

$$\sigma_h = \frac{1}{3} \text{tr}(\boldsymbol{\sigma}) = -p \quad (3)$$

where $p = -\sigma_h$ is commonly referred to as the pressure. For an uncoupled formulation, σ_h is determined from the volumetric component of the strain energy density, such that

$$\sigma_h = \frac{\partial \Psi_{vol}(J)}{\partial J} \quad (4)$$

This paper focuses on the analysis and development of volumetric strain energy density formulations for large volumetric deformations. We consider both volume reduction ($J < 1$) and volume increase ($J > 1$), referred to as *shrinkage* and *expansion*, respectively.

Although many formulations have been proposed for deviatoric strain energy contributions Ψ_{dev} (see for instance the review article (Chagnon et al., 2015)), relatively few formulations have been proposed for the volumetric strain energy contributions $\Psi_{vol}(J)$ (for a more detailed discussion of volumetric strain energy formulations the reader is referred to the surveys by Doll and Schweizerhof (2000) and Horgan and Murphy (2009)). Moreover, volumetric components of hyperelastic models are not typically subjected to rigorous analysis in order to ensure that physically realistic behaviour for large volume changes is maintained. The study of Doll and Schweizerhof (2000) establishes 9 criteria (summarised as I–IX in Table 1) that should be satisfied in order to ensure physically realistic material behavior during expansion and shrinkage. Here we add a tenth (X in Table 1), namely: the volumetric component of a hyperelastic model should be capable of precisely describing strain stiffening for all values of J (shrinkage and expansion).

2.1. Structure of this paper

The current paper is structured as follows.

In Section 3 we analyze the capability of four existing models to satisfy the criteria set out in Table 1:

- In Section 3.1 commonly implemented single parameter models are analysed;
- In Section 3.2 the formulation by Bischoff et al. (2001) for hydrostatic compression of rubber is analysed;
- In Section 3.3 we analyse the modified Ogden formulation (Ogden, 1972; Ehlers and Eipper, 1998), a simplified form of which has been implemented in ABAQUS® (2018, Dassault Systèmes Simulia Corp.);
- In Section 3.4 we analyse the Ogden-Hill hyperfoam formulation (Storåkers, 1986), which has been implemented in ABAQUS®, for highly compressible elastomers;
- In Section 3.5 we analyze a model by Doll and Schweizerhof (2000).
- In Section 3.6 we analyze the model by Montella et al. (2016).

In Section 4 we propose three novel formulations that improve upon existing formulations in terms of the criteria outlined in Table 1:

- In Section 4.1 we expand the single parameter model (of Eq. (6)) to fulfil all criteria of Table 1, and to provide independent control of strain stiffening in shrinkage and expansion;
- In Section 4.2 we present a formulation that facilitates precise prescription of "lock-up" strains in expansion and shrinkage;
- In Section 4.3 we expand the model presented in Section 4.2 to also capture non-monotonic strain stiffening (typically observed in elastomeric foams).

This paper focuses on volumetric strain energy density formulations for large volumetric deformations. Properties of several commonly used forms are discussed and three novel formulations are proposed. Although the arguments are most readily presented using uncoupled formulations, they can be extended to coupled formulations where the effective volumetric response can also be separately identified.

All visualizations presented here were created based on the free and open source MATLAB® (R2019b, The MathWorks Inc., Natick, MA, USA) toolbox GIBBON (<https://www.gibboncode.org>, (Moerman, 2018; Moerman et al., 2013)). Readers interested in exploring MATLAB® implementations, and associated visualizations,

Table 1
Physical constraints and criteria for $\Psi_{vol}(J)$.

id	Description	Form
I	Zero SED in reference state	$\Psi_{vol}(J=1) = 0$
II	Zero hydrostatic stress in reference state	$\sigma_h(J=1) = 0$
III	Positive strain energy density	$\Psi_{vol}(J \neq 1) > 0$
IV	Consistent with linear elasticity	$\frac{d^2 \Psi_{vol}(J=1)}{dJ^2} = \kappa$
V	SED approaches ∞ if J approaches 0	$\lim_{J \rightarrow 0} \Psi_{vol}(J) = \infty$
VI	Hydrostatic stress approaches $-\infty$ if J approaches 0	$\lim_{J \rightarrow 0} \sigma_h(J) = -\infty$
VII	SED approaches ∞ if J approaches ∞	$\lim_{J \rightarrow \infty} \Psi_{vol}(J) = \infty$
VIII	Hydrostatic stress approaches ∞ if J approaches ∞	$\lim_{J \rightarrow \infty} \sigma_h(J) = \infty$
IX	Tangent modulus > 0 (polyconvexity)	$\frac{d^2 \Psi_{vol}(J)}{dJ^2} \geq 0$
X	Control of strain stiffening for all J	

of all discussed formulations presented here, may explore the following demo which was added to GIBBON as part of this study: DEMO_volumetric_SED_eval.m.

3. Review and critical analysis of current volumetric SED formulations

3.1. Common single parameter volumetric SED formulations

Two commonly used forms for $\Psi_{vol}(J)$, in particular for uncoupled formulations, are (e.g. Hencky, 1933):

$$\Psi_{vol}(J) = \frac{\kappa}{2} \ln(J)^2 \quad (5)$$

and (e.g. Sussman and Bathe, 1987; Simo, 1988):

$$\Psi_{vol}(J) = \frac{\kappa}{2} (J-1)^2 \quad (6)$$

These are featured in many finite element implementations such as the open source package FEBio (Maas et al., 2012) and the proprietary software ABAQUS®. These formulations have largely been used to model materials that are assumed to be nearly incompressible (such as rubbers and soft tissues), for which $J \approx 1$. The motivation for these formulations stems largely from their mathematical convenience. Although their performance when $J \approx 1$ is valid, as we shall describe shortly, non-physical behaviour occurs if material compressibility is introduced. Table 1 lists several validity criteria and physical constraints (see also Doll and Schweizerhof, 2000; Ogden, 1984) for volumetric strain energy density formulations. Doll and Schweizerhof (2000) examined common formulations and showed that Eq. (5) does not conform to criteria VIII (for high expansions the stress approaches 0 rather than ∞) and criteria IX (for expansion the stiffness reduces to zero at $J = e \approx 2.718$ after which it becomes negative for $J > e$). These effects are summarised in Fig. 1.

Furthermore it was demonstrated that Eq. 6 does not conform to criteria V (for high shrinkage Ψ_{vol} approaches $\kappa/2$ rather than ∞) and criteria VI of Table 1 (for high shrinkage σ_h approaches

$-\kappa$ rather than $-\infty$). These effects are summarised in Fig. 2. Finally, these formulations exhibit one fixed type of strain dependent behaviour and asymmetry in terms of the difference between shrinkage and expansion, and therefore these formulations do not conform to criteria X of Table 1.

3.2. The Bischoff formulation

Bischoff et al. (2001) presents what can be considered a higher-order representation of Eq. 6:

$$\Psi_{vol}(J) = \frac{\kappa}{\alpha^2} \left(\cosh(\alpha(J-1)) - 1 \right) = \kappa \sum_{m=1}^{\infty} \frac{\alpha^{2(m-1)}}{(2m)!} (J-1)^{2m} \quad (7)$$

where α is an additional material parameter. Bischoff et al. (2001) demonstrates a good fit to the experimental hydrostatic compression data for rubber up to 20%. However, this formulation, and related polynomial forms, have the same pitfalls as the form of Eq. (6), i.e. they present with a finite strain energy, and hydrostatic stress for $J = 0$, thereby violating criteria V and VI of Table 1. Furthermore, this formulation does not offer independent control of the behaviour for shrinkage and expansion, and therefore criteria X of Table 1 is not met.

3.3. The modified ogden formulation

The modified Ogden formulation (Ehlers and Eipper, 1998; Ogden, 1972) is given by:

$$\Psi_{vol}(J) = \frac{\kappa}{\beta^2} \left(J^{-\beta} - 1 + \beta \ln(J) \right) \quad (8)$$

with κ the bulk modulus and β (with $\beta \neq 0$) a material parameter controlling the degree of non-linearity. The hydrostatic stress can be derived as:

$$\sigma_h(J) = \frac{\kappa}{\beta J} (1 - J^{-\beta}) \quad (9)$$

and the tangent modulus:

$$\frac{\partial^2 \Psi}{\partial J^2} = \frac{\kappa}{\beta J^2} \left((\beta+1)J^{-\beta} - 1 \right) \quad (10)$$

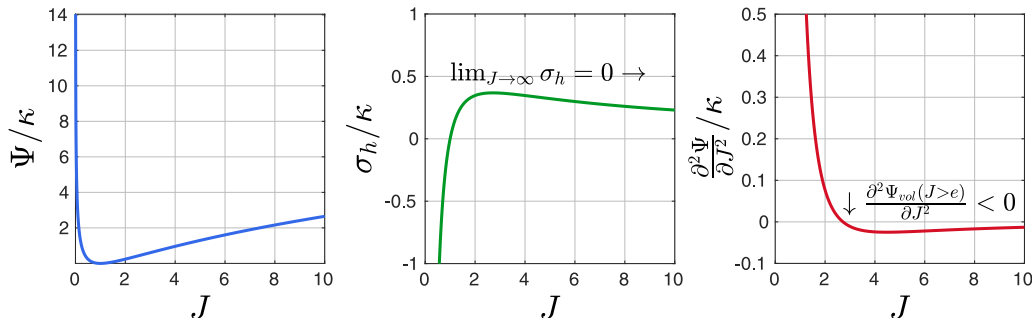


Fig. 1. The normalized strain energy density (left), hydrostatic stress (middle), and tangent modulus (right), for the formulation of Eq. (5).

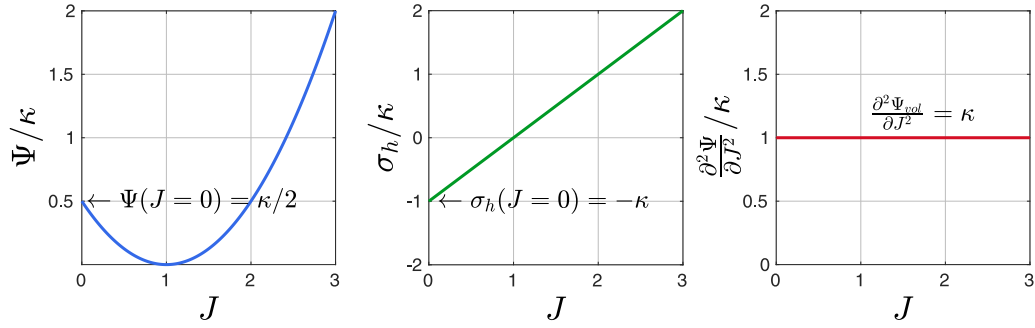


Fig. 2. The normalized strain energy density (left), hydrostatic stress (middle), and tangent modulus (right), for the formulation of Eq. (6).

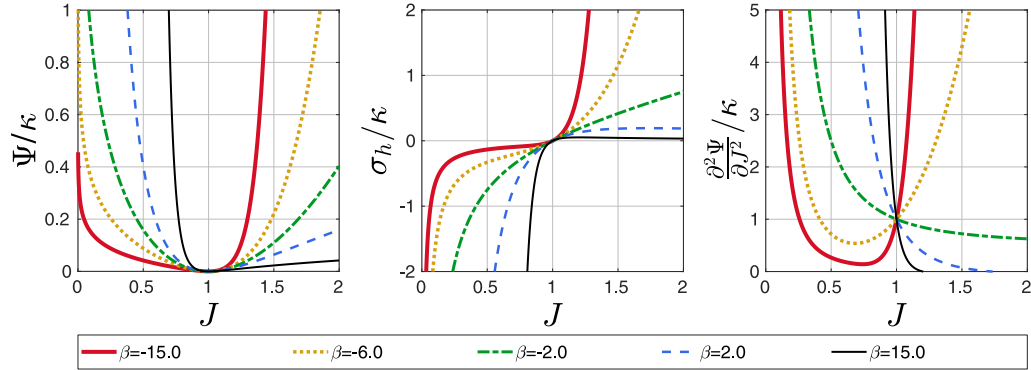


Fig. 3. The normalized strain energy density (left), hydrostatic stress (middle), and tangent modulus (right), for the modified Ogden formulation (Eq. 8). Curves drawn for $\kappa = 1$, and $\beta = [-15, 15]$.

Fig. 3 below illustrates the behaviour of this formulation for shrinkage and expansion and for a range of positive and negative β values. In Ogden (1972) the formulation is presented in relation to volume reductions only, and with $\beta > 0$. However, these restrictions are not generally enforced, and if $\beta = -2$ is chosen this formulation reduces to the volumetric contribution, implemented in ABAQUS®, for the uncoupled Arruda and Boyce (1993), Enderle et al. (1984), Enderle and Kilian (1987) formulations. For shrinkage this formulation presents with suitable behaviour. For this domain increasing β results in an increase in strain stiffening. Reducing β has the opposite effect with severely negative values even inducing a stiffness reduction and a plateauing behaviour (e.g. see graph for $\beta = -15$ in Fig. 3). By studying Eq. (8) and Fig. (3) it becomes clear this formulation does not conform to all criteria of Table 1 in the expansion domain. Specifically if $\beta \geq -1$ the tangent tends to zero (i.e. $\lim_{J \rightarrow \infty} \frac{d^2 \Psi_{vol}(J)}{dJ^2} = 0$), and negative tangents occur if $\beta > -1$ (e.g. see graphs for $\beta = 2$ and $\beta = 15$ in Fig. 3), thereby violating criteria VII, VIII and IX. Furthermore, since this formulation does not offer independent control over the response for shrinkage and expansion, it does not conform to criteria X of Table 1.

3.4. The hyperfoam formulation

A popular (see for instance Fernandes et al., 2015; Petre et al., 2006; Liu and Scanlon, 2003; Ju et al., 2013; Fazekas and Goda, 2018; Briody et al., 2012) formulation for modelling of highly compressible materials is the so called Ogden-Hill or hyperfoam material implemented in ABAQUS®. The formulation, (see Storåkers, 1986, Hill, 1979 page 48, and developments in Blatz and Ko (1962)) is given by:

$$\Psi(\lambda_1, \lambda_2, \lambda_3, J) = \sum_{a=1}^N \frac{2\mu_a}{\alpha_a^2} \left(\lambda_1^{\alpha_a} + \lambda_2^{\alpha_a} + \lambda_3^{\alpha_a} - 3 + \frac{1}{\beta_a} (J^{-\alpha_a \beta_a} - 1) \right) \quad (11)$$

Here μ_a and α_a are Ogden-like (Ogden, 1984; 1972) hyperelastic parameters, and β_a enables additional enhancement of volumetric contributions.

To review the properties of this formulation we restrict ourselves to a first order formulation ($N = 1$). Furthermore, for volumetric deformations, one may use the conditions $\lambda_1 = \lambda_2 = \lambda_3 = J^{-\frac{1}{3}}$, reducing Eq. (11) to:

$$\Psi(J) = \frac{2\mu}{\alpha^2} \left(3(J^{\frac{\alpha}{3}} - 1) + \frac{1}{\beta} (J^{-\alpha\beta} - 1) \right) \quad (12)$$

The bulk modulus for this formulation is derived from:

$$\kappa = \mu \left(\beta + \frac{1}{3} \right) \quad (13)$$

Therefore, to ensure $\kappa > 0$ one obtains the constraint $\beta > -\frac{1}{3}$. Furthermore, from Eq. (11), it is clear that $\beta_a \neq 0$ is also a constraint. From Eq. (12) the hydrostatic stress can be derived as:

$$\sigma_h(J) = J^{-1} \frac{2\mu}{\alpha} (J^{\frac{\alpha}{3}} - J^{-\alpha\beta}) \quad (14)$$

and the tangent modulus:

$$\frac{\partial^2 \Psi}{\partial J^2} = J^{-2} \frac{2\mu}{\alpha} \left(\left(\frac{\alpha}{3} - 1 \right) J^{\frac{\alpha}{3}} + (\alpha\beta + 1) J^{-\alpha\beta} \right) \quad (15)$$

Although this formulation is reported to be valid in the domain $-\frac{1}{3} < \beta < 0$ several issues were revealed in this study. As shown in Fig. 4, in this domain one encounters a reduction in the tangent modulus eventually creating negative volumetric stiffness. Furthermore, the stress may reduce to 0 as $J = 0$ is approached. It was found that the effect is exacerbated by the parameter α , therefore even if a negative β is chosen close to 0 (which appears to provide valid behaviour in Fig. 4) a negative stiffness may still occur if the α parameter is sufficiently high.

The illustrated behaviour for the domain $-\frac{1}{3} < \beta < 0$ when $\alpha > 0$ would lead one to add the constraint $\beta > 0$ for this

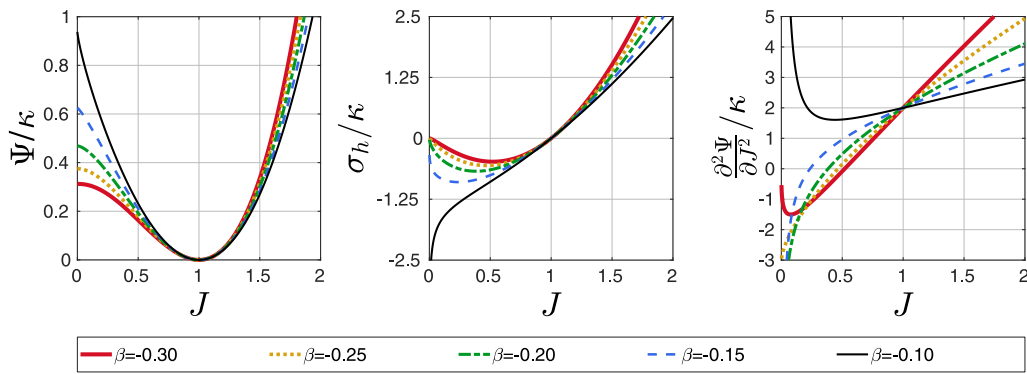


Fig. 4. The normalized strain energy density (left), hydrostatic stress (middle), and tangent modulus (right), for the hyperfoam formulation. Curves drawn for $\mu = 1$, $\alpha = 8$, and $\beta = [-0.3, -0.1]$.

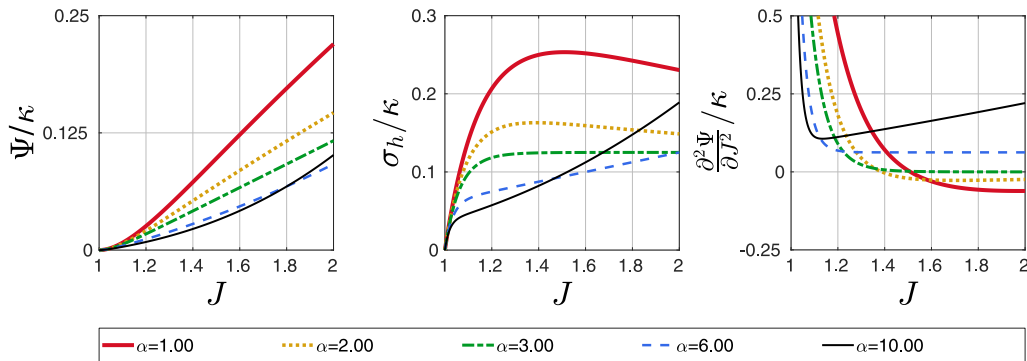


Fig. 5. The normalized strain energy density (left), hydrostatic stress (middle), and tangent modulus (right), for the hyperfoam formulation. Curves drawn for $J = [1, 2]$, $\mu = 1$, $\beta = 5$, and $\alpha = [1, 10]$.

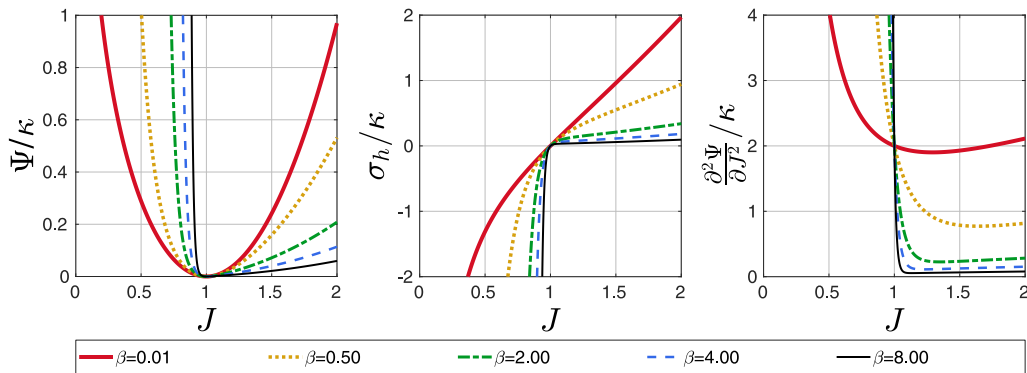


Fig. 6. The normalized strain energy density (left), hydrostatic stress (middle), and tangent modulus (right), for the hyperfoam formulation. Curves drawn for $\mu = 1$, $\alpha = 8$, and $\beta = [0.01, 8]$.

formulation. Fig. 5 explores the effect of varying α when $\beta > 0$. In terms of the tangent modulus it may be seen to decay, become constant, or become negative. The hydrostatic stress for $\alpha \leq 6$ is seen to reach a maximum and become constant or reduced with increasing J (due to negative stiffness). It was found that a negative tangent modulus may occur when $0 < \alpha \leq 6$ (see expansion domain for the graphs for $\alpha \leq 6$). Hence to avoid this it appears that $\alpha > 6$ is an additional constraint to avoid a negative tangent modulus if $\beta > 0$.

Fig. 6 presents the effect of varying β (when $\beta > 0$) when $\alpha > 6$ ($\alpha = 8$). It is clear that a positive β value enhances the shrinkage domain while suppressing the expansion domain.

Fig. 7 is similar to Fig. 6 except now a negative α is explored ($\alpha = -8$). These graphs show that now β changes its role to instead enhance the expansion domain while suppressing the shrink-

age domain. Furthermore, it was observed that a negative tangent modulus may occur if β is close to zero (see graph for $\beta = 0.01$).

In summary, in the above analysis, several additional constraints have been identified for the hyperfoam formulation. It appears $\beta > 0$ is a constraint. In addition, if a positive α parameter is used, $\alpha > 6$ appears a requirement. If instead negative α values are employed a negative tangent modulus might occur for β values close to 0. It is therefore concluded that the hyperfoam formulation is highly constrained in terms of the choice of β and α .

For conventional Ogden hyperelastic formulations (see Ogden, 1984) the parameter α usually controls the degree of non-linearity (or strain hardening) predominantly for the deviatoric behaviour, and for fitting, positive or negative α parameters may be required. However, as demonstrated here, for this Ogden-like hyperfoam formulation, α not only strongly influences the volumetric

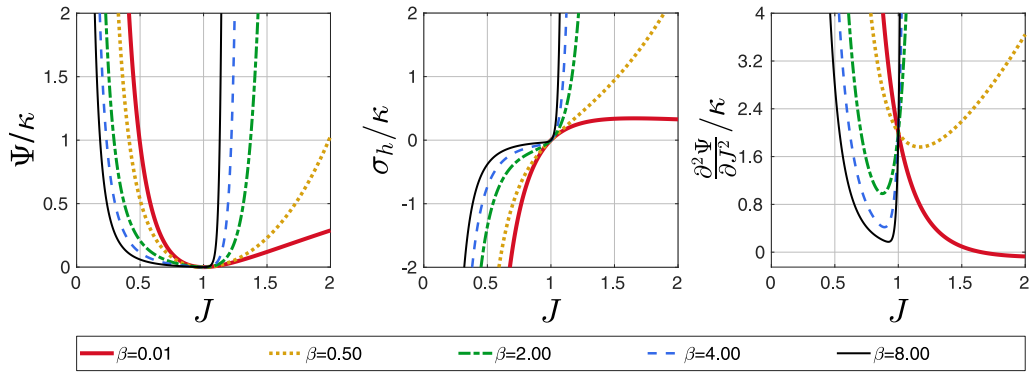


Fig. 7. The normalized strain energy density (left), hydrostatic stress (middle), and tangent modulus (right), for the hyperfoam formulation. Curves drawn for $\mu = 1$, $\alpha = -8$, and $\beta = [0.01, 8]$.

behaviour, it also changes the role of β (from enhancing shrinkage to enhancing expansion) when it changes sign. Furthermore, the suggested constraint $\alpha > 6$ (for $\alpha > 0$) may impose a potentially undesirable degree of non-linearity on the deviatoric response. For instance, some materials may demonstrate little strain stiffening such that they require $\alpha < 6$ (this includes Neo-Hookean behaviour, which requires $\alpha = 2$). Finally, even if suitable constraints are implemented, this formulation does not offer independent control in terms of enhancement for the shrinkage and expansion domains, it therefore does not satisfy criteria X of Table 1.

3.5. The Doll and Schweizerhof formulation

Doll and Schweizerhof (2000) proposed the following volumetric strain energy density formulation:

$$\Psi_{vol}(J) = \frac{\kappa}{\alpha + \beta} \left(\frac{1}{\alpha + 1} J^{\alpha+1} + \frac{1}{\beta - 1} J^{-(\beta-1)} \right) - \frac{\kappa}{(\alpha + 1)(\beta - 1)} \quad (16)$$

with the material parameter constraints: $\alpha > 0$ and $\beta > 1$. Besides satisfying all criteria listed in Table 1, this formulation also offers some control over the response in shrinkage and expansion. Furthermore, by choosing $\alpha = \beta$ the pressure symmetry $p(J) = -p(\frac{1}{J})$ is obtained, and by using $\beta = \alpha + 2$ one obtains symmetry in terms of strain energy, i.e. $\Psi(J) = \Psi(\frac{1}{J})$. Fig. 8 illustrates the effect of the parameter α . It enhances the response for expansion while mildly suppressing the response for shrinkage.

The parameter β has the opposite effect, as Fig. 9 shows, since it enhances the response for shrinkage while suppressing the response in expansion.

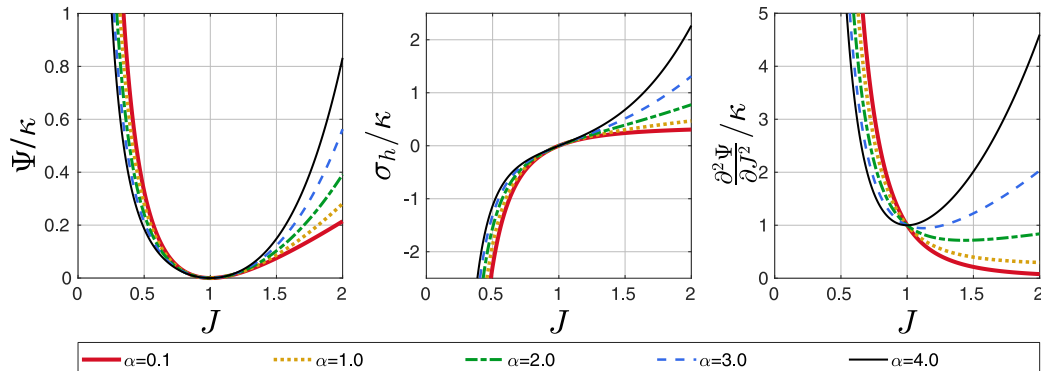


Fig. 8. The normalized strain energy density (left), hydrostatic stress (middle), and tangent modulus (right), for the Doll and Schweizerhof (2000) formulation. Curves drawn for $\kappa = 1$, $\beta = 3$, and $\alpha = [0.1, 4]$.

Although this formulation offers a way to control the response for shrinkage and expansion through the parameters α and β , both parameters have an effect on both domains. Therefore, since the control is not independent, criteria X of Table 1 is not fully met.

Furthermore, it is noted here that this formulation has the property that the minimum stiffness state need not be at $J = 1$ (see location of minima in the image on the right of Fig. 9). Although this is in principle not invalid, it may not be realistic or desirable.

3.6. The Montella formulation

Montella et al. (2016) proposed the following volumetric strain energy density formulation:

$$\Psi_{vol}(J) = \frac{\kappa}{2\beta_1} e^{\beta \ln(J)^2} + \frac{\kappa_2}{m\beta_2} e^{\beta_2 |\ln(J)|^m} \quad (17)$$

Note that it is presented here by making use of $\text{tr}(\ln(\mathbf{U})) = \ln(\det(\mathbf{U})) = \ln(J)$, with $\mathbf{U} = \sqrt{\mathbf{C}}$ the right stretch tensor. To conform to criteria I of Table 1 (zero strain in the reference state) the following trivial modification can be made:

$$\Psi_{vol}(J) = \frac{\kappa}{2\beta_1} (e^{\beta \ln(J)^2} - 1) + \frac{\kappa_2}{m\beta_2} (e^{\beta_2 |\ln(J)|^m} - 1) \quad (18)$$

Here κ is the bulk modulus, and κ_2 is referred to as the large strain bulk modulus. The parameters β_1 and β_2 , are dimensionless and have the constraints $\beta_1 \geq \frac{1}{8}$ and $\beta_2 \geq \frac{1}{8}$.

Fig. 10 illustrates the effect of varying the parameter β_1 and includes the lower limit $\beta_1 = \frac{1}{8}$. This parameter β_1 is seen to allow for the simultaneous variation of response for shrinkage and expansion. The parameter β_2 has a similar effect in the second term of Eq. (18). The parameter κ_2 offers added control of the slope for higher strains.

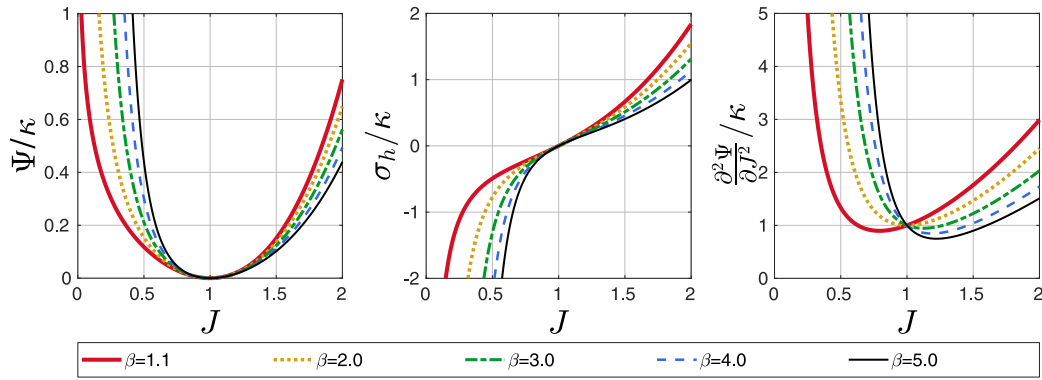


Fig. 9. The normalized strain energy density (left), hydrostatic stress (middle), and tangent modulus (right), for the Doll and Schweizerhof (2000) formulation. Curves drawn for $\kappa = 1$, $\alpha = 3$, and $\beta = [1.1, 5]$.

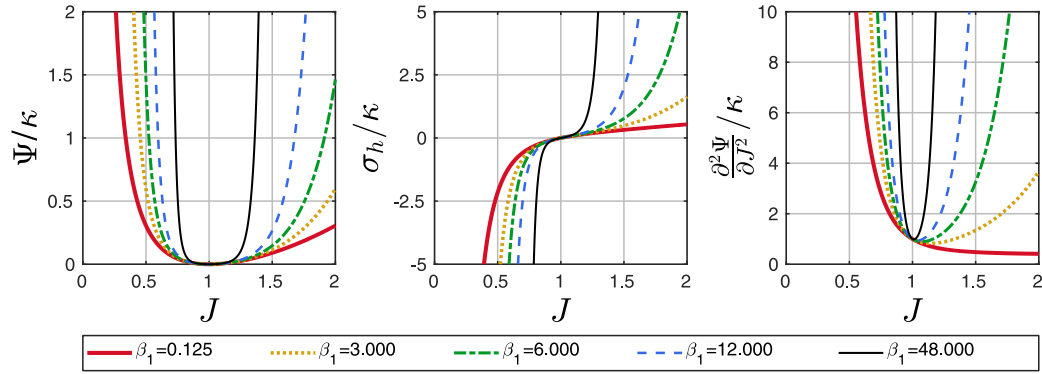


Fig. 10. The normalized strain energy density (left), hydrostatic stress (middle), and tangent modulus (right), for the Montella et al. (2016) formulation. Curves drawn for $\kappa = 1$, $\kappa_2 = 1$, $\beta_2 = \frac{1}{8}$, $m = 4$, and $\beta_1 = [\frac{1}{8}, 48]$.

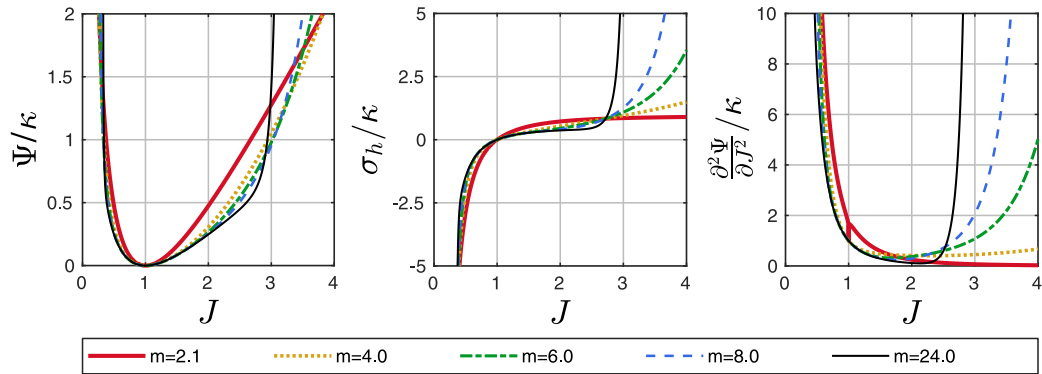


Fig. 11. The normalized strain energy density (left), hydrostatic stress (middle), and tangent modulus (right), for the Montella et al. (2016) formulation. Curves drawn for $\kappa = 1$, $\kappa_2 = 1$, $\beta_2 = \frac{1}{8}$, $\beta_1 = \frac{1}{8}$, and $m = [2.124]$.

Fig. 11 illustrates the effect of varying the parameter m . The parameter m can be seen the enhance the response for $J > e$, and $J < \frac{1}{e}$ and to suppress the response in the range $\frac{1}{e} < J < e$. This suppressing/enhancing effect results in the ability to a plateau region for the expansion domain. If $m > 2$ the second term vanishes for $J = 1$ hence this appears to be a constraint on m if criteria IV of Table 1 is to be respected. However, in this study $m \geq 4$ appeared a requirement since discontinuities were observed for the tangent modulus when $J \approx 1$ and if $2 < m < 4$ (see for example the curve for $m = 2.1$ in Fig. 11).

The Montella et al. (2016) formulation of Eq. (18) offers a flexible formulation for modelling of large strain volumetric deformations. Further more, provided the constraints described are respected, the formulation conforms to criteria I–IX of Table 1. How-

ever, this formulation does not offer independent control of the response for shrinkage and expansion.

4. The proposed volumetric strain energy density formulations

Three novel volumetric strain energy densities are presented in this section which offer separate control over the strain dependent behaviour for shrinkage and expansion.

4.1. Formulation 1

The first formulation is inspired by Eq. (6). A power was added to the volume ratio to enable control of the degree of strain stiffening. Next two terms were created such that one features a positive

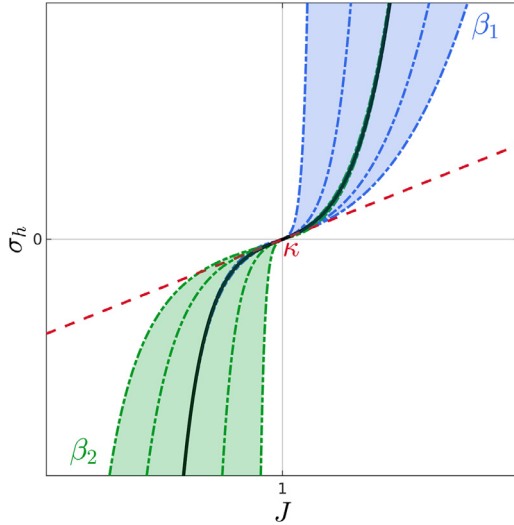


Fig. 12. A schematic illustration of a typical σ_h curve illustrating the nature of the parameters κ , the bulk modulus setting the initial slope, β_1 , setting the rate of strain stiffening in expansion, β_2 , setting the rate of strain stiffening in shrinkage.

power and one a negative power, the former being most sensitive to expansion while the latter is most sensitive to shrinkage, leading to:

$$\Psi_{vol}(J) = \frac{\kappa}{4} \left(\frac{1}{\beta_1^2} (J^{\beta_1} - 1)^2 + \frac{1}{\beta_2^2} (J^{-\beta_2} - 1)^2 \right) \quad (19)$$

Besides the bulk modulus κ , this formulation features the material parameters β_1 and β_2 , which control the degree of strain stiffening in terms of expansion and shrinkage respectively, with $\kappa \in \mathbb{R}_{>0}$, $\beta_1 \in \mathbb{R}_{>2}$, and $\beta_2 \in \mathbb{R}_{>0}$.

The hydrostatic stress for this formulation is:

$$\sigma_h(J) = \frac{\kappa}{2J} \left(\frac{1}{\beta_1} (J^{2\beta_1} - J^{\beta_1}) - \frac{1}{\beta_2} (J^{-2\beta_2} - J^{-\beta_2}) \right) \quad (20)$$

and the tangent modulus:

$$\frac{\partial^2 \Psi_{vol}(J)}{\partial J^2} = \frac{\kappa}{2J^2} \left[\left(\left(2 - \frac{1}{\beta_1} \right) J^{2\beta_1} - \left(1 - \frac{1}{\beta_1} \right) J^{\beta_1} \right) + \left(\left(2 + \frac{1}{\beta_2} \right) J^{-2\beta_2} - \left(1 + \frac{1}{\beta_2} \right) J^{-\beta_2} \right) \right] \quad (21)$$

Fig. 12 schematically illustrates the effect of the parameters κ , β_1 , and β_2 , on the hydrostatic stress.

Fig. 13 shows the effect of varying the bulk modulus κ .

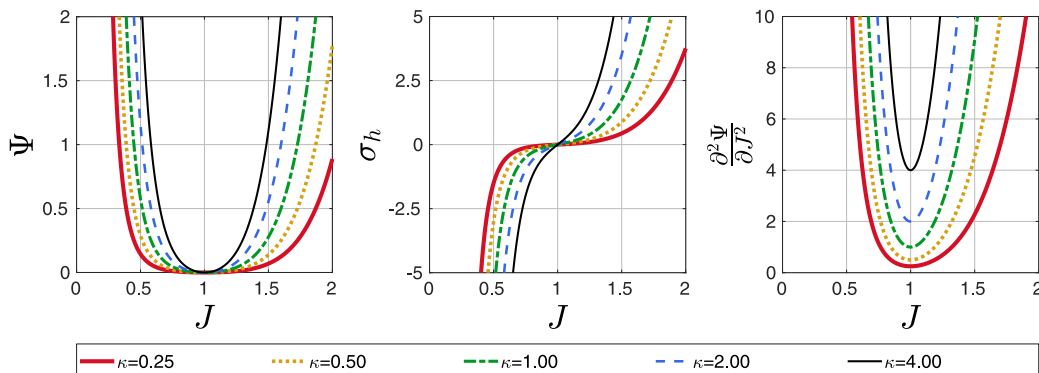


Fig. 13. The effect of κ . The strain energy density (left), hydrostatic stress (middle), and tangent modulus (right) for formulation 1. Curves drawn for $\beta_1 = 4$, $\beta_2 = 2$, $\kappa = [0.25, 4]$.

Figs. 14 and **15** illustrate the effect of varying β_1 and β_2 respectively, demonstrating near independent control of strain hardening for the expansion and shrinkage domains.

Although near independent control is seen for both the magnitude and degree of strain stiffening of the responses for shrinkage and expansion, it is noted here that, similar to the [Doll and Schweizerhof \(2000\)](#) formulation, the minimum stiffness is not guaranteed to be κ and may not be found at $J = 1$ (Note the shift in the minima for the tangent modulus in **Figs. 14** and **15**). Formulation 2, discussed in the next section, avoids this behaviour.

In [Appendix A](#) two variations of the above formulation are briefly explored. In [Eq. \(A.1\)](#) of [\(A.1\)](#) a weighting factor was introduced with the aim of providing further control over the dominance of the expansion and shrinkage terms. However, this change results in a possible negative tangent modulus for particular parameter choices.

To address the fact that the minimum stiffness of formulation 1 only lies at $J = 1$ if $\beta_2 = \beta_1 + 2$, [Eq. \(A.4\)](#) of [\(A.2\)](#) describes a switch statement based decoupling of the expansion and shrinkage terms such that the expansion term is used if $J \geq 1$, and the shrinkage term if $J < 1$. Although this alternative form forces the minimum tangent modulus to occur at $J = 1$, and satisfies all constraints listed in [Table 1](#), it presents with a non-smooth stiffness at $J = 1$, which may not be desirable.

4.2. Formulation 2

This section discusses a formulation which was inspired by the inverse sigmoid shape of the hydrostatic stress. A tangent function was chosen here since it presents with a rather elegant integral and derivative. The strain energy density for the proposed form is:

$$\Psi_{vol}(J) = -\kappa a^2 \ln(\cos(\frac{J-1}{a})) \quad (22)$$

The derivative with J provides the hydrostatic stress:

$$\sigma_h(J) = \kappa a \tan(\frac{J-1}{a}) \quad (23)$$

The second derivative provides the tangent modulus:

$$\frac{\partial^2 \Psi_{vol}(J)}{\partial J^2} = \kappa \sec^2(\frac{J-1}{a}) \quad (24)$$

The parameter a is defined as:

$$a = \frac{2}{\pi} \begin{cases} (J_1 - 1) & J \geq 1 \\ (J_2 - 1) & J < 1 \end{cases} \quad (25)$$

This formulation features three material parameters, the bulk modulus κ and two volume ratio parameters defining "lock-up"

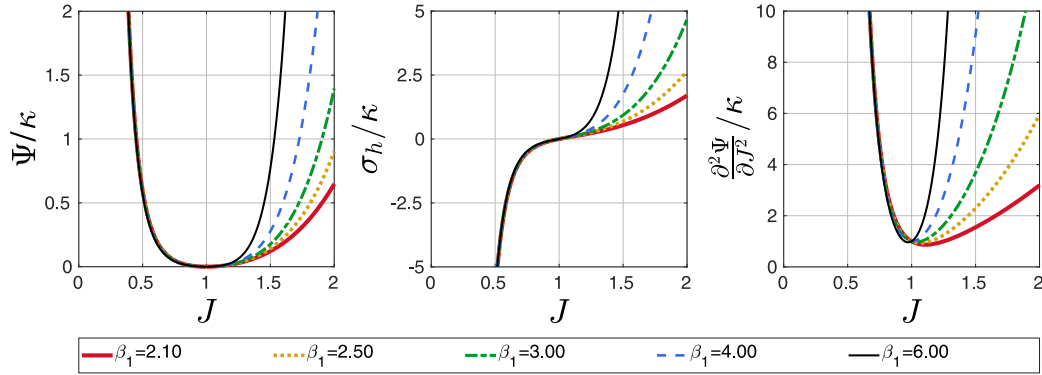


Fig. 14. The effect of β_1 . The normalized strain energy density (left), hydrostatic stress (middle), and tangent modulus (right) for formulation 1. Curves drawn for $\kappa = 1$, $\beta_2 = 2$, $\beta_1 = [2.1, 6]$.

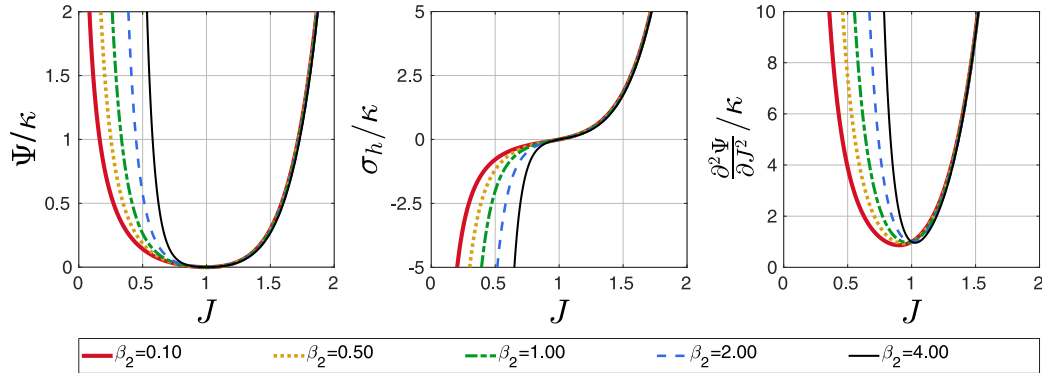


Fig. 15. The effect of β_2 . The normalized strain energy density (left), hydrostatic stress (middle), and tangent modulus (right) for formulation 1. Curves drawn for $\kappa = 1$, $\beta_1 = 4$, $\beta_2 = [0.1, 4]$.

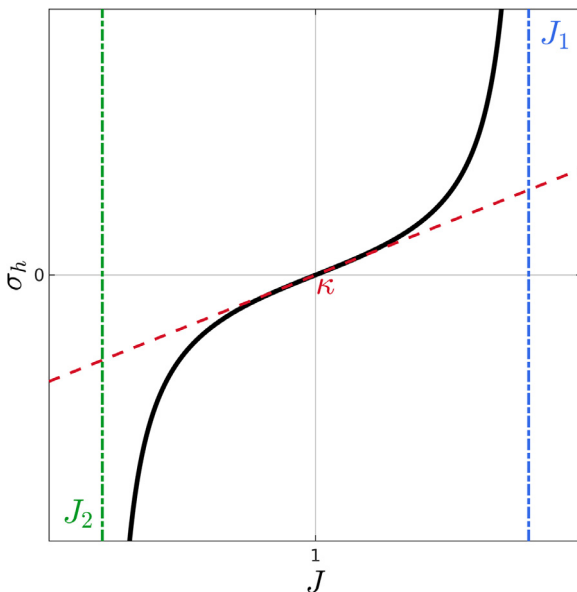


Fig. 16. A schematic illustration of a typical σ_h curve illustrating the nature of the parameters κ , the bulk modulus setting the initial slope, J_1 , setting the maximum volume ratio asymptote, and J_2 , setting a minimum volume ratio asymptote.

stretches, J_1 (with $J_1 > 1$), and J_2 (with $0 \leq J_2 < 1$). Fig. 16 contains a schematic illustration of the nature of these parameters in relation to the hydrostatic stress.

Both J_1 and J_2 define a volume ratio at which an asymptote exists for strain energy, hydrostatic stress, and the tangent modulus.

Numerical implementations therefore should feature the constraints:

$$\begin{aligned} \Psi_{vol}(J \geq J_1) &= \Psi_{vol}(J \leq J_2) = \infty \\ \sigma_h(J \geq J_1) &= -\sigma_h(J \leq J_2) = \infty \\ \frac{\partial^2 \Psi_{vol}(J \geq J_1)}{\partial J^2} &= \frac{\partial^2 \Psi_{vol}(J \leq J_2)}{\partial J^2} = \infty \end{aligned} \quad (26)$$

The bulk modulus κ sets the slope for the hydrostatic stress at $J = 1$. Beyond $J = 1$ the volume ratios J_1 and J_2 determine how rapidly stiffness is enhanced for the expansion and shrinkage domains. If a material exhibits a behaviour such that further volume change beyond a particular point is hindered, this can be modelled using an appropriate choice for these volume ratio asymptote levels. For many materials however $J_2 = 0$ is most appropriate as this is where this asymptote may naturally lie. Clearly if $J_2 = 0$ is kept fixed this formulation has only the two remaining parameters κ and J_1 . Both asymptote levels can be set at a level beyond the expected deformation levels or brought in closer to further enhance strain stiffening. Fig. 17 illustrates the effect of varying the bulk modulus κ and demonstrates how it changes the slope at $J = 1$ for the hydrostatic stress.

Fig. 18 presents the effect of varying J_1 . The parameter J_1 is seen to shift the location of the asymptote in the expansion domain.

Fig. 19 presents the effect of varying J_2 . It is clear how J_2 enables one to alter the location of the asymptote in the shrinkage domain.

From Figs. 17, 19, and 18 it is clear that, contrary to the other formulations, the minimum tangent modulus is guaranteed to occur at $J = 1$ and is equal to κ .

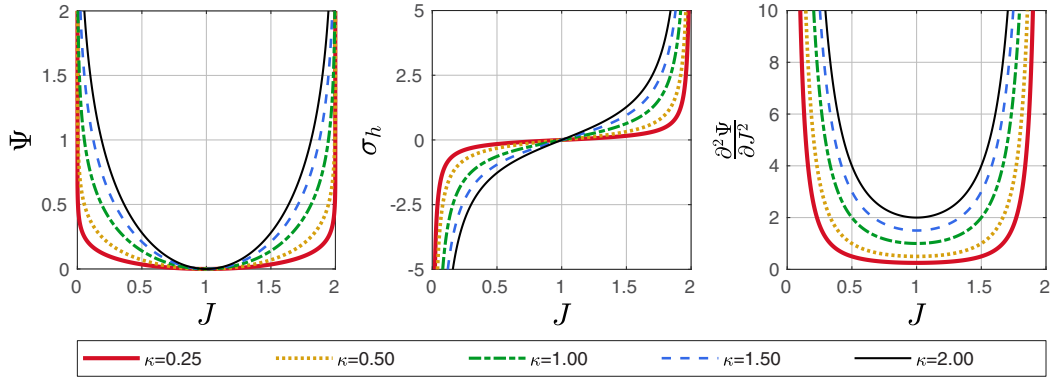


Fig. 17. The effect of the bulk modulus. The normalized strain energy density (left), hydrostatic stress (middle), and tangent modulus (right) for formulation 2. Curves drawn for $J_2 = 0$, $J_1 = 2$ and $\kappa = [0.25, 2]$.

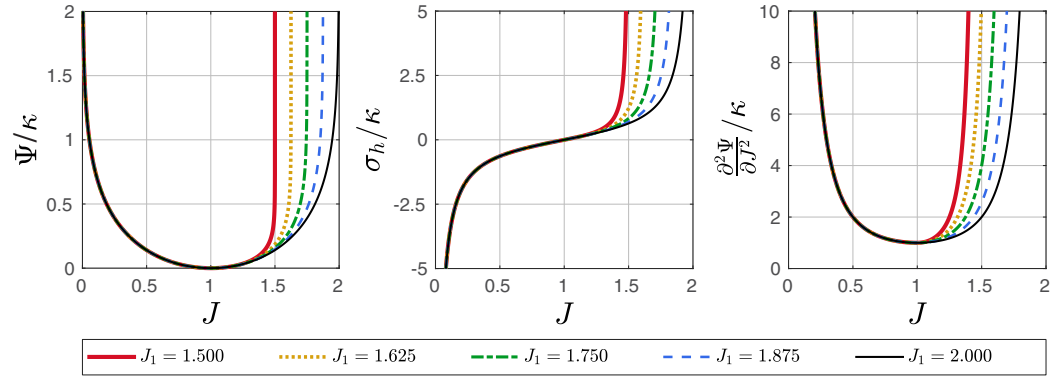


Fig. 18. The effect of the J_1 . The normalized strain energy density (left), hydrostatic stress (middle), and tangent modulus (right) for formulation 2. Curves drawn for $\kappa = 1$, $J_2 = 0$ and $J_1 = [1.5, 2]$.

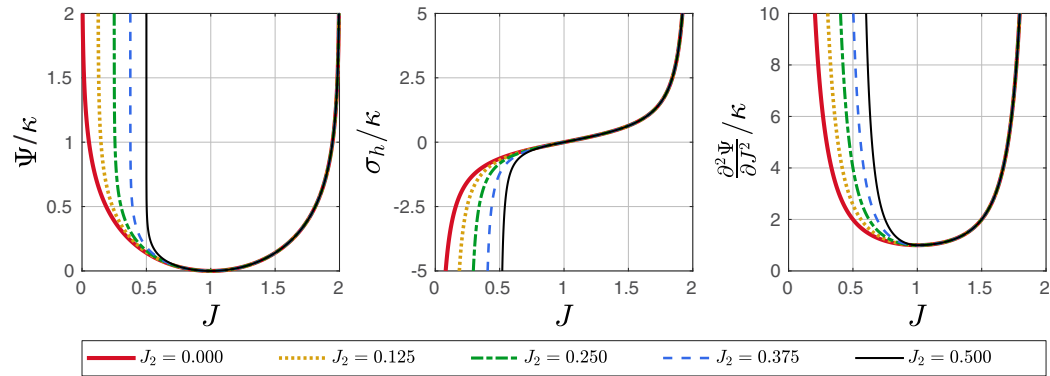


Fig. 19. The effect of the J_2 . The normalized strain energy density (left), hydrostatic stress (middle), and tangent modulus (right) for formulation 2. Curves drawn for $\kappa = 1$, $J_1 = 2$ and $J_2 = [0, 0.5]$.

Furthermore, one may note that the following simultaneous symmetries exist:

$$\Psi_{vol}(J_s) = \Psi_{vol}(J_e), \quad \sigma_h(J_s) = -\sigma_h(J_e), \quad \frac{\partial^2 \Psi_{vol}(J_s)}{\partial J^2} = \frac{\partial^2 \Psi_{vol}(J_e)}{\partial J^2} \quad (27)$$

(where subscript s and e refer to shrinkage and expansion respectively), if

$$J_e = (J_s - 1) \frac{J_1 - 1}{J_2 - 1} + 1, \quad J_s = (J_e - 1) \frac{J_2 - 1}{J_1 - 1} + 1 \quad (28)$$

Therefore if simultaneous symmetry in terms of J and $1/J$ is desired one could use:

$$J_2 = \frac{1}{J_1} \quad (29)$$

Formulation 2 adheres to all criteria of Table 1, with the exception of criteria VII and VIII, due to the existence of the asymptote at J_1 in the expansion domain. Indeed it may be deemed unnatural to have the asymptote depart from $J = 0$ for the shrinkage domain, or to have an asymptote at all for the expansion domain. A.3 therefore presents a variation to formulation 2 which does not have these features, instead it employs a form similar to Eq. 7 but with natural asymptotic behaviour added at $J = 0$.

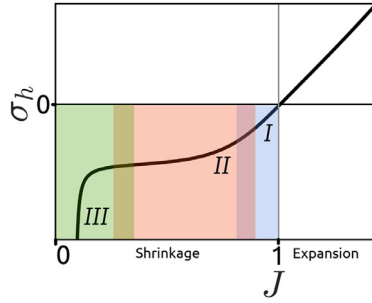


Fig. 20. The typical response of a cellular solid to shrinkage and expansion. The shrinkage domain typically features several phases, e.g. an initial elastic domain (I), followed by a compaction domain (II), and a densification domain (III).

4.3. Formulation 3

Formulation 3, proposed below, is an extension of formulation 2, of Section 4.2, to capture non-monotonic strain stiffening, as observed in cellular materials, lattices, and foams (Gibson, 2005; Gibson et al., 1981). As illustrated in Fig. 20, such materials exhibit three main phases during large volumetric compression (Gibson, 2005): (I) an initial linear or moderately strain stiffening phase; (II) a reduced stiffness/plateau region due to elastic buckling of the material microstructure; and (III) a region of increased stiffness due to densification of the structure. Such non-monotonic stiffening behaviour with an elastic buckling plateau region is observed for elastomeric foams, such as polyurethane foams (e.g. Kim et al., 2018; Petre et al., 2006), and cork (e.g. Fortes et al., 1989; Gibson et al., 1981; Le Barbenchon et al., 2019; Maji et al., 1995; Gibson et al., 1981).

As seen in Section 4.2, Formulation 2 features the $\tanh$ function creating a vertical sigmoid shape for the hydrostatic stress. In order to expand formulation 2 to allow for a reduced stiffness/plateau region, an additional horizontal sigmoid function is added; in this case a $\tanh$ function is used, with asymptotes parallel to the J axis. Conveniently these functions share conceptually similar integrals and derivatives. We propose the following strain energy density function:

$$\Psi_{vol}(J) = \kappa \left[-(1-q)a^2 \ln \left(\cos \left(\frac{J-1}{a} \right) \right) + qb^2 \ln \left(\cosh \left(\frac{J-1}{b} \right) \right) \right] \quad (30)$$

resulting in the following expression for hydrostatic stress:

$$\sigma_h(J) = \kappa \left[(1-q)a \tan \left(\frac{J-1}{a} \right) + qb \tanh \left(\frac{J-1}{b} \right) \right] \quad (31)$$

with the following expression for tangent modulus:

$$\frac{\partial^2 \Psi_{vol}(J)}{\partial J^2} = \kappa \left[(1-q) \sec^2 \left(\frac{J-1}{a} \right) + q \operatorname{sech}^2 \left(\frac{J-1}{b} \right) \right] \quad (32)$$

The parameters a , b , and q are defined as:

$$a = \frac{2}{\pi} \begin{cases} (J_1 - 1) & J \geq 1 \\ (J_2 - 1) & J < 1 \end{cases} \quad b = \frac{1}{\kappa} \begin{cases} s_1 & J \geq 1 \\ s_2 & J < 1 \end{cases} \quad q = \begin{cases} q_1 & J \geq 1 \\ q_2 & J < 1 \end{cases} \quad (33)$$

In all cases independent values can be specified for shrinkage ($J < 1$) and expansion ($J > 1$). The parameter a is the same as for formulation 2 where J_2 and J_1 set the volume ratios for the two vertical asymptotes. Parameters s_2 and s_1 set the hydrostatic stress asymptotes of the horizontal sigmoid function ($\tanh$) in expansion and shrinkage, respectively. The parameters q_1 and q_2 set the relative contributions of the monotonic strain stiffening behaviour of the $\tan$ component and the hydrostatic stress plateau

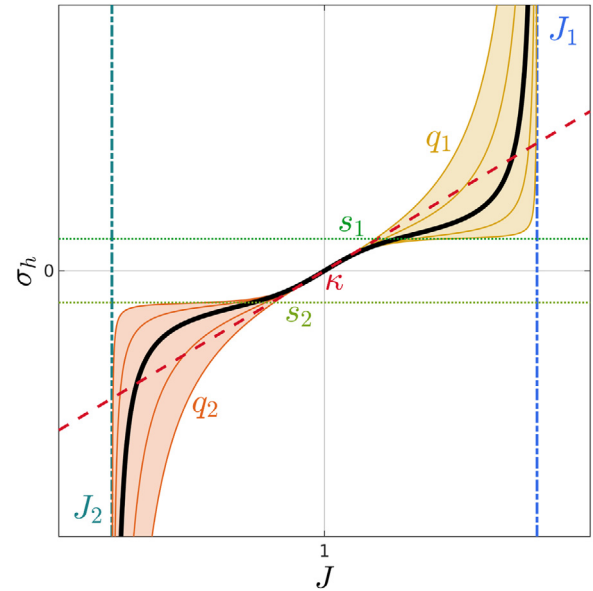


Fig. 21. A schematic illustration of a typical σ_h curve illustrating the nature of the parameters κ , the bulk modulus setting the initial slope, J_1 , setting the maximum volume ratio asymptote, and J_2 , setting a minimum volume ratio asymptote, s_1 , setting the softening stress in expansion, q_1 setting the dominance of the softening in expansion, s_2 , setting the softening stress in shrinkage, and q_2 setting the dominance of the softening in shrinkage.

behaviour of the $\tanh$ component. If $q_i = 0$ formulation 2 is recovered, with monotonic strain stiffening. Conversely, if $q_i = 1$ a plateau in hydrostatic stress is obtained, but this is not followed by a high stiffness densification region. Fig. 21 is a schematic illustration to highlight the effect of the material parameters on the hydrostatic stress. The six physically based parameters can be used to precisely specify the three phases of volumetric deformation described above.

We next provide a parametric investigation of the effect of varying the parameters s_1 , s_2 , q_1 , and q_2 . The effect of κ , J_1 and J_2 is equivalent to that of formulation 2 (see Figs. 17, 19, and 18 respectively) and therefore not repeated graphically here. Fig. 22 shows the effect of varying s_1 . This parameter sets the plateau stress level for expansion for the horizontal sigmoid function.

Fig. 23 shows the effect of varying s_2 . This parameter sets the plateau stress level for shrinkage for the horizontal sigmoid function (note that although the hydrostatic stress is negative during shrinkage, s_2 is here defined as a positive number).

Fig. 24 presents the effect of varying q_1 , which controls the dominance of the stiffness reduction/plateau behaviour in the expansion domain.

Fig. 25 presents the effect of varying q_2 , which controls the dominance of the stiffness reduction/plateau behaviour in the shrinkage domain.

4.4. Fitting to experimental data

To illustrate the ability of our new formulation 1–3 to capture experimental hydrostatic compression data, Fig. 26 presents fits to data for neoprene rubber foam (Bardy et al., 2005) (1st column), flexible open-cell polyurethane cushioning foam (Petre et al., 2006) (2nd column), natural cork (Dart et al., 1947) (3rd column), and rigid closed-cell polyurethane foam (Maji et al., 1995) (4th column). For all fits the bulk-modulus κ was kept fixed and was instead derived from the slope calculated for the small strain domain (up to 4% shrinkage).

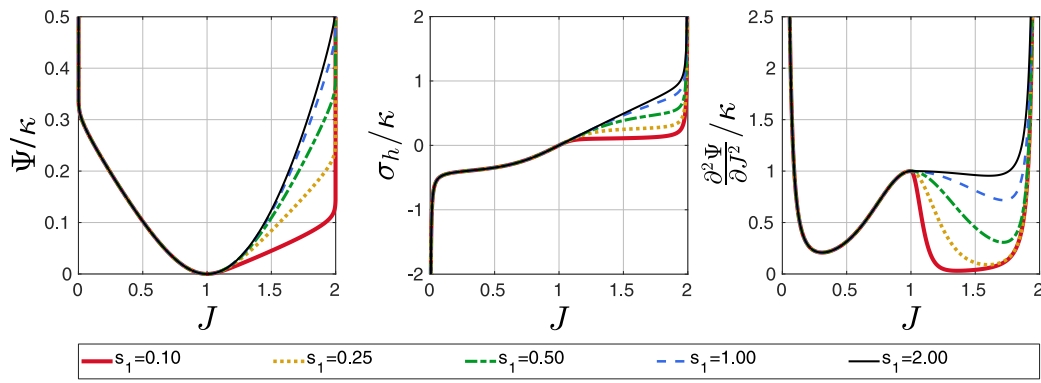


Fig. 22. The effect of the s_1 . The normalized strain energy density (left), hydrostatic stress (middle), and tangent modulus (right) for formulation 3. Curves drawn for $\kappa = 1$, $J_1 = 2$, $J_2 = 0$, $q_1 = 0.98$, $q_2 = 0.98$, $s_1 = [0.1, 2]$, $s_2 = 0.4$.

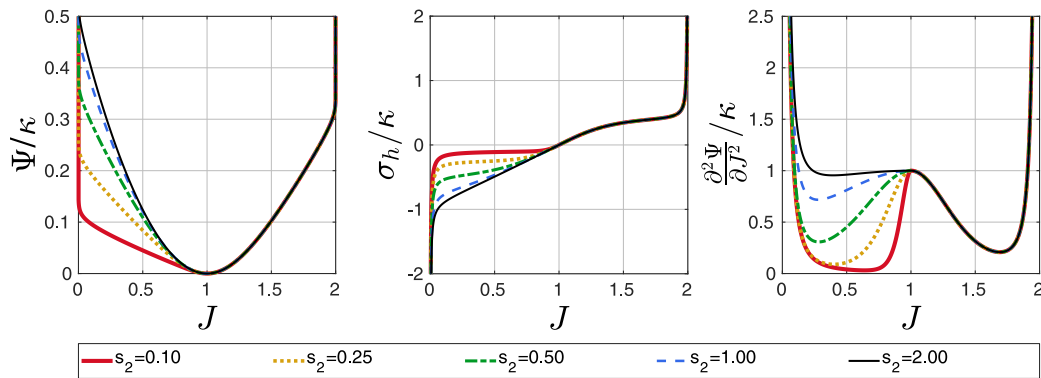


Fig. 23. The effect of the s_2 . The normalized strain energy density (left), hydrostatic stress (middle), and tangent modulus (right) for formulation 3. Curves drawn for $\kappa = 1$, $J_1 = 2$, $J_2 = 0$, $q_1 = 0.98$, $q_2 = 0.98$, $s_1 = 0.4$, $s_2 = [0.1, 2]$.

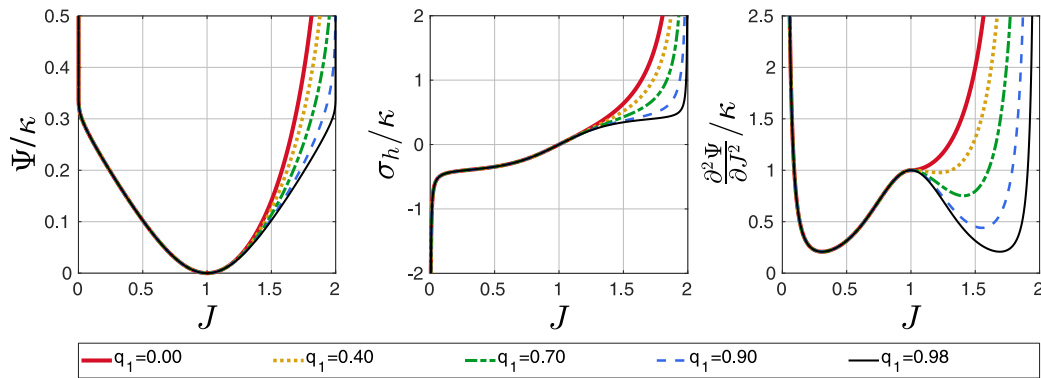


Fig. 24. The effect of the q_1 . The normalized strain energy density (left), hydrostatic stress (middle), and tangent modulus (right) for formulation 3. Curves drawn for $\kappa = 1$, $J_1 = 2$, $J_2 = 0$, $q_1 = [0, 0.98]$, $q_2 = 0.98$, $s_1 = 0.4$, $s_2 = 0.4$.

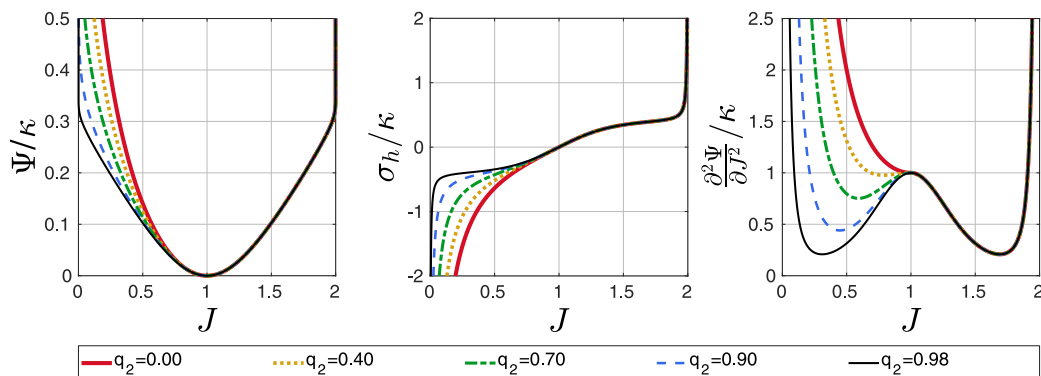


Fig. 25. The effect of the q_2 . The normalized strain energy density (left), hydrostatic stress (middle), and tangent modulus (right) for formulation 3. Curves drawn for $\kappa = 1$, $J_1 = 2$, $J_2 = 0$, $q_1 = 0.98$, $q_2 = [0, 0.98]$, $s_1 = 0.4$, $s_2 = 0.4$.

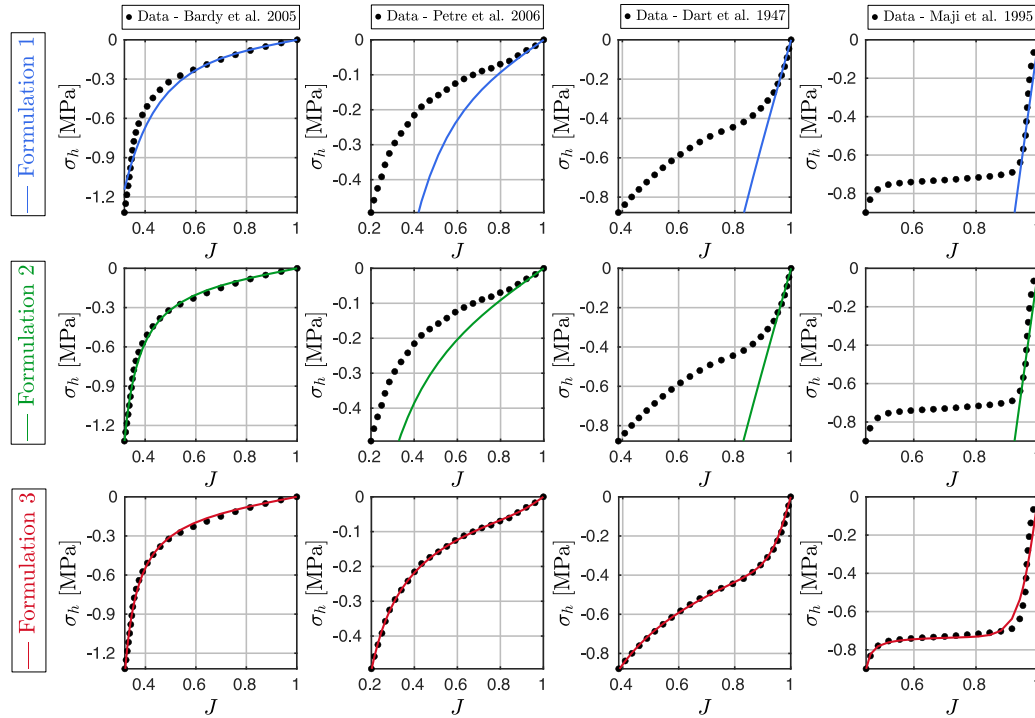


Fig. 26. Fitting of formulation 1 (top row), formulation 2 (middle row), and formulation 3 (bottom row) to experimental hydrostatic compression data. From the left to the right the data was obtained from [Bardy et al. \(2005\)](#), [Petre et al. \(2006\)](#), [Dart et al. \(1947\)](#), and, [Maji et al. \(1995\)](#).

As is evident from [Fig. 26](#), an increasing amounts of stiffness reduction/plateau behaviour is observed in the experimental data (from left to right). In the case of the neoprene and open-cell foam the data represents fully elastic recoverable loading associated with elastic buckling of the microstructure (rather than unrecoverable plastic buckling). Formulation 1 cannot accurately capture the non-linear monotonic strain stiffening behavior of neoprene rubber foam; the high stiffness behaviour at high volumetric strains is accurately predicted, but the stiffness at low volumetric strains is under predicted. In contrast, formulation 2 accurately predicts the neoprene rubber foam behaviour for the full range of experimental data. However, the inflection point observed for open-cell polyurethane cushioning foam and natural cork, and the plateau behaviour for closed-cell polyurethane foam are not captured. Formulation 3 is shown to accurately predict the reported experimental data for all four materials.

5. Discussion

Much attention has been given to the development of deviatoric strain energy density functions due to the traditional focus, of hyperelastic modelling, on nearly-incompressible rubber materials (e.g. [Rivlin and Saunders, 1951](#); [Ogden, 1984](#); [Treloar et al., 1976](#)), and assumed incompressible soft tissue ([Chagnon et al., 2015](#)). Comparatively few strain energy density functions have been proposed for large volumetric deformations (e.g. [Ogden, 1972](#); [Bischoff et al., 2001](#); [Storåkers, 1986](#); [Doll and Schweizerhof, 2000](#); [Montella et al., 2016](#)). We demonstrate here that well-established and commonly used volumetric strain energy formulations are either not valid for large volumetric deformations, as they (i) do not adhere to criteria I–IX of [Table 1](#), or (ii) do not offer sufficient control, for either the shrinkage or the expansion domain, for fitting of monotonic or non-monotonic strain stiffening behaviour (criteria X of [Table 1](#)). Following a summary and critical analysis of common formulations, and the pitfalls they exhibit, we propose three novel formulations which uniquely: (1) are valid for large volumet-

ric deformations, (2) offer separate control of the volumetric strain stiffening behaviour during shrinkage (volume reduction) and expansion (volume increase), and (3) in the case of formulation 3, offer the ability to capture non-monotonic volumetric stiffening. The presented formulations offer superior flexibility for experimental fitting of the large volumetric strain behaviour of hyperelastic materials, and are demonstrated to adhere to all physical constraints and criteria listed in [Table 1](#).

- Formulation 1 ([Section 4.1](#)) exhibits control of the magnitude and degree of strain stiffening in shrinkage and expansion domains which is not strongly coupled. This presents an incremental improvement of on the model of [Doll and Schweizerhof \(2000\)](#), in which the degree of strain stiffening in shrinkage and expansion is strongly coupled. One property of formulation 1 however is that the minimum of the tangent modulus may not occur at $J = 1$, and is therefore lower than κ , for a particular choice of parameters. Although this is a property shared with many other formulations, and this does not render the formulation invalid by any means, it may be deemed undesirable or unrealistic given particular experimental data.
- Formulation 2 ([Section 4.2](#)) was developed to exhibit many of the properties of formulation 1 but also guarantees that the minimum stiffness is found at $J = 1$. This model is formulated using logarithmic and trigonometric functions, and features a bulk modulus κ to set the initial slope and two controllable asymptotes, one at the volume ratio J_1 for expansion, and one at the volume ratio J_2 for shrinkage. For shrinkage J_2 can be set at 0 to enable, for instance, infinite strain energy at $J = 0$, as is common. However, it is possible to bring J_2 closer to 1 to enable more rapid stiffening during volume reduction. Similarly J_1 is the volume ratio at which an asymptote exists for volume expansion. Control of strain stiffening in shrinkage and expansion domains is fully decoupled, i.e. changes in one domain do not influence the other. In terms of achieving symmetry, formulation 2 also enables, through an appropriate choice

of parameters, simultaneous symmetry in terms of $\Psi_{vol}(J) = \Psi_{vol}(\frac{1}{J})$, $p(J) = -p(\frac{1}{J})$, and $\partial^2 \Psi_{vol}(J)/\partial J^2 = \partial^2 \Psi_{vol}(\frac{1}{J})/\partial J^2$, i.e. the strain energy density, hydrostatic stress and tangent modulus for a given percentage volume increase or decrease can be made to be equivalent. Furthermore, formulation 2 ensures that, even for deviations from symmetry, the minimum tangent modulus always occurs at $J = 1$ and is equal to κ . A.3 provides a variation to formulation 2 whereby the asymptote parameters are avoided.

- Formulation 3 (Section 4.3) extends formulation 2, of Section 4.2, to capture the non-monotonic stiffening reported for cellular materials, lattices, and foams (Gibson, 2005; Gibson et al., 1981). A horizontal sigmoid function is superimposed on formulation 2 creating softening behaviour. The parameters s_1 and s_2 define hydrostatic stress asymptotes on the horizontal sigmoid function for the expansion and shrinkage domain respectively. Furthermore parameters q_1 or q_2 define the dominance of these potential softening plateaus. Similar to formulation 2, formulation 3 offers independent control of the behaviour for the expansion and shrinkage domains.

Formulation 3 is shown to provide accurate predictions of the non-linear pressure volumetric relationship under hydrostatic compression for four materials, namely: neoprene rubber foam (Bardy et al., 2005), flexible open-cell polyurethane cushioning foam (Petre et al., 2006), natural cork (Dart et al., 1947), and rigid closed-cell polyurethane foam (Maji et al., 1995). As discussed above, the ability to accurately model non-monotonic volumetric shrinkage and expansion will be important for the simulation and design of next-generation lattice materials, including ultraporos sponges (Mader et al., 2018) graphene foams aerogels (e.g. Pan et al., 2018; Shang et al., 2018; Wu et al., 2015; Chandrasekaran et al., 2017) in which elastic recovery from compressive strains of 90% have been reported (Hu et al., 2013). Graphene aerogels can also be 3D printed (Zhang et al., 2016) allowing for the creation of highly elastic, deformable, and complex lattices structures. Formulation 3 can also be used to simulate non-monotonic volumetric stiffening of compressible biological materials, such as arteries (Nolan and McGarry, 2016), and the myocardium (McEvoy et al., 2018). Formulation 3 can also be extended to account for plastic buckling in the plateau region (as observed for polypropylene foams (Viot, 2009), metallic foams (Deshpande and Fleck, 2001), and trabecular bone (Kelly and McGarry, 2012; Kelly et al., 2013).

Fig. 27 presents two examples of highly elastic lattice structures which can be 3D printed in rubber-like polymeric materials. The lattices are subjected to hydrostatic deformations. The structure and visualizations are based on dedicated finite element (FEBio 2.9.1 Maas et al., 2012) models (the lattice is meshed using hexahedral elements, solid material is represented as Neo-Hookean, i.e. $\psi = \frac{c}{4}(\text{tr}(\tilde{\mathbf{C}}) - 3) + \frac{\kappa}{2} \ln(J)^2$, with $c = 1$ MPa, and $\kappa = 50$ MPa. A related demo has been made available open source as part of GIBBON (Moerman, 2018): DEMO_febio_0054_lattice_hydrostatic_01.m). The top row in Fig. 27 is for the regular octet-truss lattice structure, which demonstrates fairly linear behaviour in expansion and non-linear plateauing and densification during shrinkage due to elastic buckling of struts. The bottom row is for an octet-truss lattice with initially curved features. Such features are straightened during expansion creating a source of stiffness enhancement. During shrinkage however the initially curved features immediately and gradually continue to bend, resulting in the absence of the more sudden initiation of bending seen in the structure of the top row.

Future work will include the use of the presented formulations for modelling of highly compliant 3D printed polymer lattice structures with tailorable strain stiffening and densification behaviour. Such materials are useful for the design of custom biomechanical

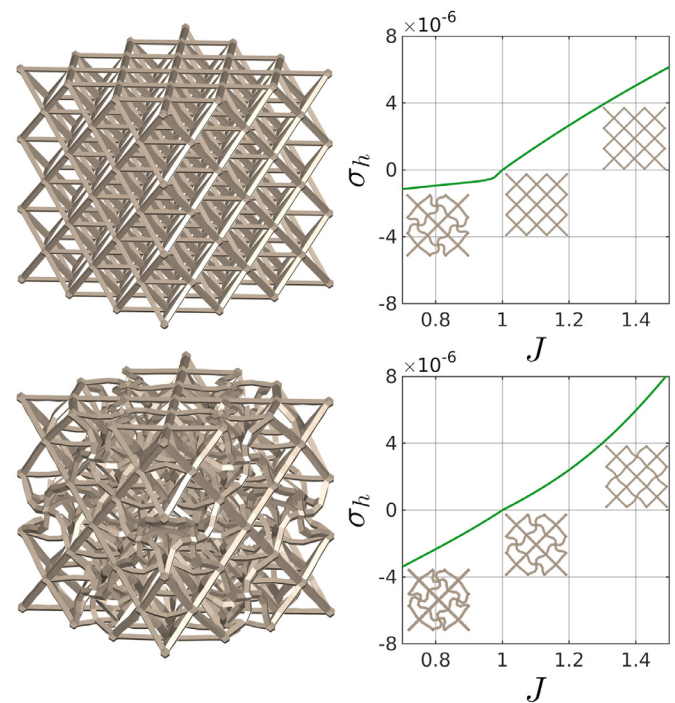


Fig. 27. Two types of octet truss lattice structures subjected to hydrostatic loading. Graphs on the right show hydrostatic stress (σ_h) as a function of the volume ratio (J). The initial configuration for each lattice is shown in 3D on the left. 2D views of the initial and loaded configurations are also visualized schematically within the graphs on the right. The top row is for a regular octet-truss lattice while the bottom row is for an octet-truss lattice with initially curved features.

support structures e.g. at the interface between tissue and prosthetic or orthotic devices.

Declaration of Competing Interests

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

CRediT authorship contribution statement

Kevin M. Moerman: Conceptualization, Methodology, Software, Data curation, Visualization, Investigation, Formal analysis, Writing - original draft, Writing - review & editing. **Behrooz Fereidoonzhad:** Conceptualization, Methodology, Investigation, Formal analysis, Writing - review & editing. **J. Patrick McGarry:** Conceptualization, Methodology, Formal analysis, Supervision, Writing - review & editing, Funding acquisition, Project administration.

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Appendix A. Alternative formulations

A1. Formulation 1 with a weighting factor

In this variation of formulation 1 of Section 4.1 a weighting factor $q \in [0, 1]$ is introduced with the aim of scaling the contributions for expansion and shrinkage. The strain energy density for

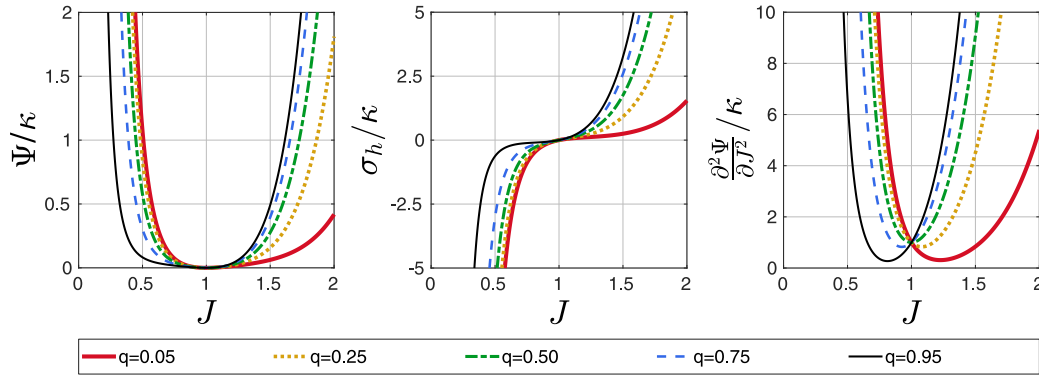


Fig. A28. The effect of q . The normalized strain energy density (left), hydrostatic stress (middle), and tangent modulus (right) for formulation 1. Curves drawn for $\kappa = 1$, $\beta_1 = 2$, $\beta_2 = 4$, $q = [0.05, 0.95]$.

this variation is:

$$\Psi_{vol}(J) = \frac{\kappa}{2} \left(\frac{q}{\beta_1^2} (J^{\beta_1} - 1)^2 + \frac{1-q}{\beta_2^2} (J^{-\beta_2} - 1)^2 \right) \quad (A.1)$$

Leading to the following expression for the hydrostatic stress:

$$\sigma_h(J) = \frac{\kappa}{J} \left(\frac{q}{\beta_1} (J^{2\beta_1} - J^{\beta_1}) - \frac{1-q}{\beta_2} (J^{-2\beta_2} - J^{-\beta_2}) \right) \quad (A.2)$$

and the tangent modulus:

$$\frac{\partial^2 \Psi_{vol}(J)}{\partial J^2} = \frac{\kappa}{J^2} \left[\frac{q}{\beta_1} \left((2\beta_1 - 1)J^{2\beta_1} - (\beta_1 - 1)J^{\beta_1} \right) + \frac{1-q}{\beta_2} \left((2\beta_2 + 1)J^{-2\beta_2} - (\beta_2 + 1)J^{-\beta_2} \right) \right] \quad (A.3)$$

It is noted that if $q = 1$ and $\beta_1 = 1$ this formulation reduces to the familiar form of Eq. (6). Furthermore, if $q = 0.5$ and $\beta_2 = \beta_1 + 2$ the symmetry $\Psi_{vol}(J) = \Psi_{vol}(1/J)$ is obtained.

Fig. A28 illustrates the effect of varying q , and shows how it allows one to control the dominance of the expansion and shrinkage contributions. Hence for fitting purposes this formulation offers flexibility in terms of both the magnitude and the degree of strain stiffening of the response.

However, it was found that a negative tangent may occur when q is altered to severely favour a particular domain (e.g. q close to 0 or 1) while β parameter for the "suppressed" domain is very high. This is illustrated in Fig. A29 where the combination $q = 0.05$ and $\beta_1 = 30$ (black curve in the left graph of Fig. A29), or $q = 0.95$ and $\beta_2 = 30$ (red curve in the right graph of Fig. A29), resulted in a negative tangent.

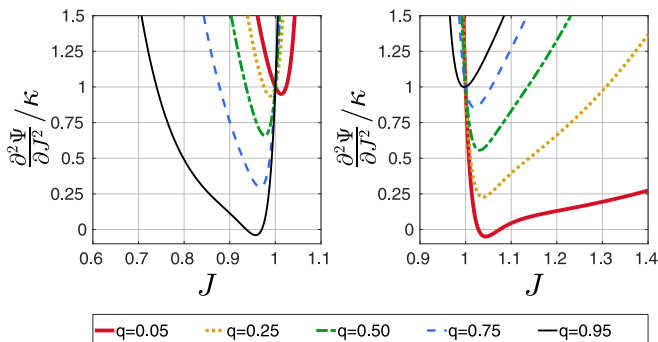


Fig. A29. The normalized tangent modulus when $\kappa = 1$, $q = [0.05, 0.95]$ and $\beta_1 = 30$, $\beta_2 = 3$ (left), or $\beta_1 = 3$, $\beta_2 = 30$ (right).

A2. Formulation 1 with a switch statement

A second variation on formulation 1 is now presented which contains a switch statement to fully uncouple the behaviour for shrinkage and expansion:

$$\Psi_{vol}(J) = \frac{\kappa}{2} \begin{cases} \frac{1}{\beta_1^2} (J^{\beta_1} - 1)^2 & J \geq 1 \\ \frac{1}{\beta_2^2} (J^{-\beta_2} - 1)^2 & J < 1 \end{cases} \quad (A.4)$$

Leading to the following expression for the hydrostatic stress:

$$\sigma_h(J) = \frac{\kappa}{J} \begin{cases} \frac{1}{\beta_1} (J^{2\beta_1} - J^{\beta_1}) & J \geq 1 \\ \frac{1}{\beta_2} (J^{-2\beta_2} - J^{-\beta_2}) & J < 1 \end{cases} \quad (A.5)$$

and the tangent modulus:

$$\frac{\partial^2 \Psi_{vol}(J)}{\partial J^2} = \frac{\kappa}{J^2} \begin{cases} \frac{1}{\beta_1} \left((2\beta_1 - 1)J^{2\beta_1} - (\beta_1 - 1)J^{\beta_1} \right) & J \geq 1 \\ \frac{1}{\beta_2} \left((2\beta_2 + 1)J^{-2\beta_2} - (\beta_2 + 1)J^{-\beta_2} \right) & J < 1 \end{cases} \quad (A.6)$$

This "switch-based" variation performs similarly to formulation 1 of Section 4.1 but enables fully separated control of the expansion and shrinkage behaviour. Fig. A30 illustrates the effect of varying β_1 (since similar performance is obtained for β_2 these graphs are not shown here). Clearly fully independent control of strain hardening for the expansion and shrinkage domains is achieved. Furthermore, by using the conditional switch, the minimum stiffness is guaranteed to be κ and lies at $J = 1$. However, the switch-based implementation presents with a potentially undesired artefact in the form of a non-smooth transition at $J = 1$ for the tangent modulus (see the kink at $J = 1$ for the tangent graphs of Fig. A30).

A3. Formulation 2 without asymptote parameters

This variation is a hybrid between Eq. 7 and formulation 2:

$$\Psi_{vol}(J) = \kappa \begin{cases} \frac{1}{\beta_1} (\cosh(\beta_1(J-1)) - 1) & J \geq 1 \\ \frac{1}{2} \left[\frac{1}{\beta_2} (\cosh(\beta_2(J-1)) - 1) - \frac{4}{\pi^2} \ln(\cos(\frac{\pi}{2}(1+J))) \right] & J < 1 \end{cases} \quad (A.7)$$

Resulting in the following expression for the hydrostatic stress:

$$\sigma_h(J) = \kappa \begin{cases} \frac{1}{\beta_1} \sinh(\beta_1(J-1)) & J \geq 1 \\ \frac{1}{2} \left[\frac{1}{\beta_2} \sinh(\beta_2(J-1)) - \frac{2}{\pi} \tan(\frac{\pi}{2}(1+J)) \right] & J < 1 \end{cases} \quad (A.8)$$

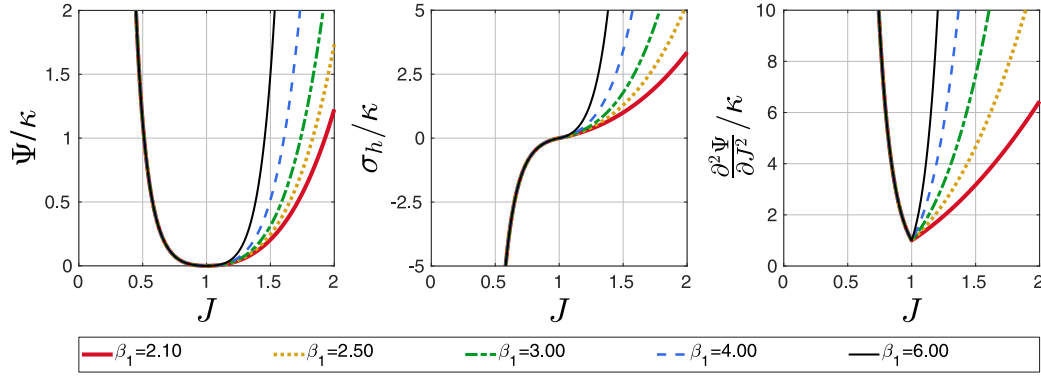


Fig. A30. The effect of β_1 . The normalized strain energy density (left), hydrostatic stress (middle), and tangent modulus (right) for formulation 1. Curves drawn for $\kappa = 1$, $\beta_2 = 2$, $\beta_1 = [2.1, 6]$.

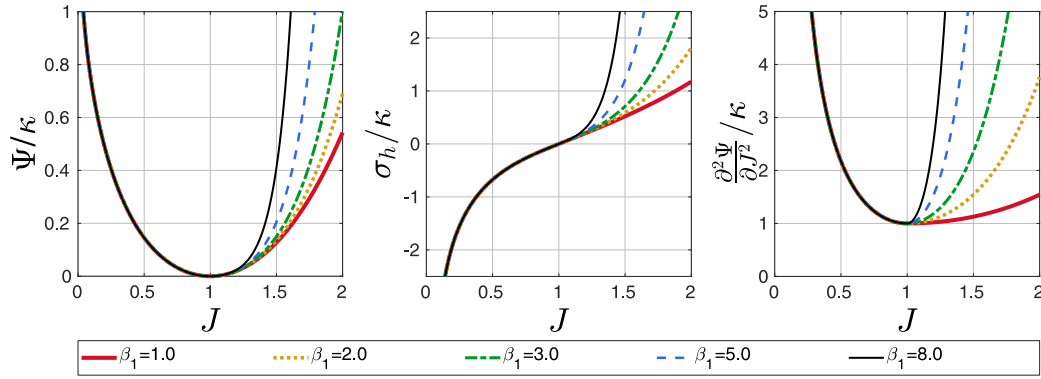


Fig. A31. The effect of β_1 . The normalized strain energy density (left), hydrostatic stress (middle), and tangent modulus (right) for formulation 2. Curves drawn for $\kappa = 1$, $\beta_2 = 3$, $\beta_1 = [1, 12]$.

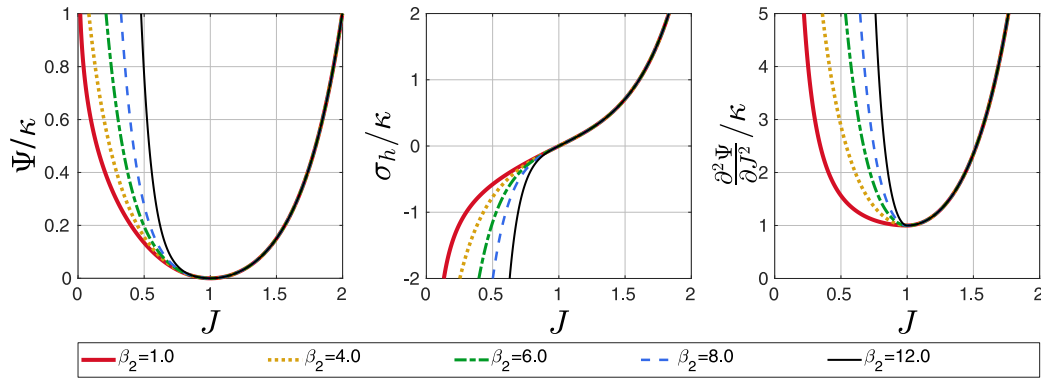


Fig. A32. The effect of β_2 . The normalized strain energy density (left), hydrostatic stress (middle), and tangent modulus (right) for formulation 2. Curves drawn for $\kappa = 1$, $\beta_1 = 3$, $\beta_2 = [1, 12]$.

and the tangent:

$$\frac{\partial^2 \Psi_{vol}(J)}{\partial J^2} = \kappa \begin{cases} \cosh(\beta_1(J-1)) & J \geq 1 \\ \frac{1}{2} \left[\cosh(\beta_2(J-1)) + \sec^2\left(\frac{\pi}{2}(1+J)\right) \right] & J < 1 \end{cases} \quad (\text{A.9})$$

Here β_1 and β_2 are material parameters controlling volumetric strain-dependent stiffening. As Eq. (A.7) shows both the shrinkage and expansion domain feature a form equivalent to Eq. (7). However, to adhere to criteria V and VI of Table 1 a term similar to Eq. (22) (with $a(J_2 = 0)$ such that a fixed asymptote occurs at $J = 0$) is added for the shrinkage domain.

Fig. A31 and Fig. A32 illustrate the effect of varying the β_1 and β_2 .

The graphs of Fig. A31 and Fig. A32 show fully independent control of the strain stiffening for shrinkage and expansion. This variation adheres to all criteria of Table 1. The minimum tangent occurs at $J = 1$, where, since the third derivatives for the shrinkage and expansion terms of Eq. (A.7) are both zero, a smooth transition occurs between the two domains.

A4. Formulation fitting parameters

Table A2 below presents the parameters derived from fitting presented in Section 4.4.

Table A2
Fitting parameters for formulation 1, 2 and 3 (if applicable units are MPa). Parameters for poor quality fits are not presented.

id	Data: Bardy et al. (2005) $\kappa = 0.3785$	Data: Petre et al. (2006) $\kappa = 0.4400$	Data: Dart et al. (1947) $\kappa = 5.051$	Data: Maji et al. (1995) $\kappa = 11.65$
1	$\beta_2 = 0.2900$ $\beta_1 = \beta_2 + 2$	N.A.	N.A.	N.A.
2	$J_2 = 0.2544$	N.A.	N.A.	N.A.
3	$s_2 = 0.4181$ $q_2 = 0.1316$ $J_2 = 0.2643$	$s_2 = 0.04629$ $q_2 = 0.5141$ $J_2 = 0.03359$	$s_2 = 0.3577$ $q_2 = 0.8838$ $J_2 = 0.04411$	$s_2 = 0.7301$ $q_2 = 0.9981$ $J_2 = 0.4290$

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